

# Dell EMC Unity: Snapshots and Thin Clones

A Detailed Review

## Abstract

This paper describes Dell EMC™ Unity Snapshots and Thin Clones for Dell EMC Unity storage systems and includes information about their configuration management, and other functionality.

June 2021

## Revisions

Date	Description
June 2021	Template and format updates. Dell EMC Unity OE version 5.1 updates

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## Executive summary

As data becomes increasingly important to organizations of all types, these organizations continually strive to find the safest and most effective ways to protect their data. While many methods of data protection exist, one of the simplest and most effective is local snapshots, which allows recovery of data by rolling back to an older point-in-time or copying select data from the snapshot. Snapshots continue to be an essential data protection mechanism used across a wide variety of industries and use cases to preserve the most important mission-critical production data, sometimes in conjunction with other data protection technologies.

Dell EMC Unity storage systems introduce a radically simple and completely unified approach to snapshots. As a unified storage system, Dell EMC Unity offers snapshot capabilities for both block and file storage resources that use the same workflows, operations, and architecture. Redirect on write (ROW) technology ensures pool space is used optimally and reduces the management burden by never requiring administrators to designate protection space. Whether snapshots being are created, managed, or deleted, Dell EMC Unity provides a unified look and feel for administrators to manage their local data protection environments from a variety of interfaces including Unisphere GUI, CLI, or REST API.

Dell EMC Unity provides full control for administrators to schedule and manage snapshots, both automatically and manually. With various automatic deletion settings, administrators never have to worry about snapshots consuming too much pool space or being retained for an unnecessary amount of time. With flexible management options and the ability to interoperate with most other Dell EMC Unity features, snapshots offer the local data protection administrators can trust to ensure their production data is kept safe at all times.

Dell EMC Unity extends the snapshot capabilities in order to provide Copy Data Management, through the use of the thin clones feature. Available beginning in Dell EMC Unity OE version 4.2, thin clones use the same underlying pointer-based technology that snapshots use to provide a method for managing multiple copies of Block storage resources. Thin clones support many data services, which engineers and developers can leverage in their testing and development environments. When users create a thin clone, despite some differences in the settings, it acts as a regular LUN and is listed on the LUNs page. Similar to snapshots, users can create, manage and destroy thin clones through Unisphere, CLI, and the REST API.

## Audience

This white paper is intended for Dell EMC customers, partners, and employees who are interested in understanding Dell EMC Unity snapshot and thin clone functionality, operations, and management.

## Terminology

**Application Consistency:** Ensures all incoming I/O for a given application is quiesced while a snapshot is taken. Application Consistency makes sure that the application can restart from the point in time that a snapshot was taken. This strategy differs from Crash Consistency because I/O is quiesced at the host, instead of being quiesced at the storage system.

**Base LUN:** The parent LUN, consistency group or VMware VMFS Datastores for a set of derivative snapshots and thin clones.

**Block Storage Resources:** LUNs, consistency groups and VMware VMFS Datastores.

**Server Message Block (SMB):** An access protocol that allows users to access files and folders from Windows hosts that are located on a network. User authentication is maintained through Active Directory and file access is determined by directory access controls.

**Crash Consistent Snapshot:** A point-in-time copy of data. A crash-consistent snapshot addresses only the data level; it is unaware of any underlying applications.

**File Storage Resources:** File systems (NFS, SMB) and VMware NFS Datastores.

**Logical Unit Number (LUN):** A logical unit of block storage that is created in a pool.

**Consistency group:** A collection of LUNs that are grouped together. Snapshot operations on a consistency group affect all the LUNs contained in the group, providing ease of management and crash consistency if the LUNs are dependent on each other.

**Network File System (NFS):** An access protocol that allows users to access files and folders from Linux/UNIX hosts that are located on a network.

**Network-Attached Storage (NAS):** File-based storage for a wide range of clients and applications that access storage over network connections. Protocol-specific file systems are located and managed on the storage system, which transfers data to hosts over TCP/IP using either the SMB or NFS file sharing protocol.

**Redirect on Write (ROW):** The technology behind Dell EMC Unity snapshots. After a snapshot is taken, new writes to the primary storage are redirected and written to a new location within the storage pool.

**Share:** A named, mountable instance of file-level storage, that is accessible through a file system or VMware NFS Datastore. Each share is accessible through the protocol (NFS or SMB) that is defined for the file system where it resides.

**Snapshot:** A point-in-time copy of data that is stored on the storage system.

**Storage Processor (SP):** A hardware component that provides the processing resources to perform storage operations such as creating, managing, and monitoring storage resources.

**Storage Pool:** A group of disk drives that is used for configuring LUNs, datastores, and file systems. A drive can be a member of only one pool.

**Storage Resource:** An addressable and configurable storage instance that is associated with a specific quantity of storage. LUNs, file systems, and VMware datastores constitute storage resources.

**Thin Clone:** A Read/Write copy of a Block level storage resource (LUN, LUNs within consistency group or VMFS Datastores).

**Unisphere:** A web-based management environment that is used to create storage resources, configure and schedule protection for stored data, and manage and monitor other storage operations.

**Unisphere CLI (UEMCLI):** The command line interface for managing Dell EMC Unity storage systems.

# 1 Snapshots

## 1.1 Overview

Dell EMC Unity snapshots allow administrators to use the same architecture, operations, and workflows to uniformly manage block and file snapshots. Redirect-on-write technology is used for all storage resources, eliminating the need for a save volume or for copying snapped data away before overwriting data, thereby improving efficiency.

Because snapshots use the same technology for block and file, managing snapshots is very similar between resource types. While the support for specific snapshot operations varies depending on the resource type, the snapshot operations have the same look and feel within the interface. All non-VMware vVol snapshots can be configured with one of several automatic deletion policies, which ensures that snapshots do not consume an unwanted amount of pool space or are not retained for longer than needed. With configurable pool thresholds and expiration dates, administrators have full control of all snapshots within their storage environment.

While most discussion of snapshot management in this paper refers to the Unisphere GUI, snapshots are also fully supported through the UEMCLI and REST API. So, whether administrators take manual snapshots through Unisphere, leverage the customizable snapshot schedules, or create advanced data protection scripts, they can fully manage their storage environments using whichever method they prefer. This ability leads to a powerful, flexible foundation for managing data protection regardless of the complexity of the use case or environment.

### 1.1.1 Redirect on write

The technology used for snapshots of both block and file resources in Dell EMC Unity is called redirect on write (ROW). With redirect on write, new writes to snapped storage resources or their snapshots are redirected to a new location in the same pool, and pointers are updated to point to the new location. This method contrasts to copy on write snapshots that, in order to preserve the snapped data, copy blocks to a designated protection space prior to being overwritten. With redirect on write, there is no need to define separate protection space or to copy data to a separate location before writing to snapped blocks because new data is simply written to a new location in the same pool. This technology is illustrated in Figure 1.

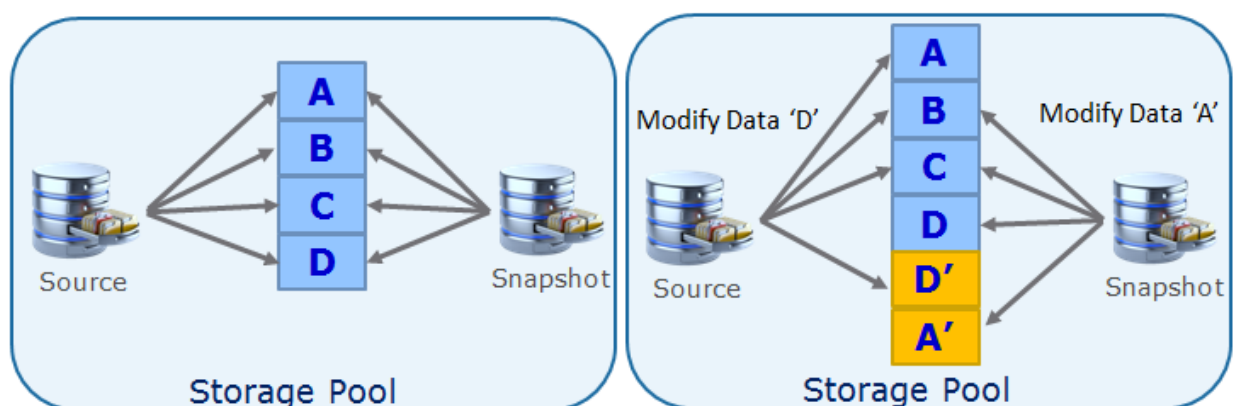


Figure 1 Redirect on write example

In this example, a source LUN contains four blocks of data: A, B, C, and D. A snapshot is taken of this LUN, which also points to A, B, C and D. When a host attempts to overwrite the D block with new data, D', the new data is written to a new location in the same storage pool and the LUN updates its pointer to D'. This is

because the data in block D is also associated with the snapshot, so it needs to be preserved rather than overwritten in case the snapshot needs to be restored in the future.

Similarly, if a host has write access to the snapshot, attempting to overwrite block A when writing to the snapshot results in the new data, A', being redirected to a new location in the pool and block A being preserved. This is because block A is still associated with the source LUN, so it cannot be overwritten. However, if the source LUN host later attempts to write new data to block A, it is overwritten without need for redirection because block A is no longer associated with or needed for the snapshot. This functionality also applies to other resources in the same way at a block level.

### 1.1.2 Consistency groups

In Dell EMC Unity, there are several types of block resources. Two of these, LUNs and VMware VMFS Datastores, are individual resources that are provisioned one at a time. A third type of block resource, a consistency group, allows administrators to provision multiple LUNs within a crash-consistent group, which allows those LUNs to be protected uniformly at the same point-in-time. Snapshots are taken at the consistency group level, which automatically protects each individual LUN within the consistency group at a single point-in-time. This achieves crash-consistency across all LUNs in the group, which is useful when the LUNs compose a larger multi-LUN application, which is required to be crash-consistent itself. Consider a database that is composed of several LUNs, which contain both database data and log information that must reflect the same point-in-time across the entire application. Because the data in each LUN is dependent on the others, and the database does not make sense if all do not represent the same point-in-time, this is a good use case for a consistency group. Creating these LUNs as part of a consistency group ensures that all LUNs are always protected and restored to the same point-in-time so the database will function properly after recovery. As an example, Figure 2 shows a database that is composed of several LUNs contained within a consistency group. Two snapshots have been taken, one at 8AM and one at 12PM. Each individual consistency group LUN is also protected at the exact same point-in-time that is associated with the snapshot to ensure crash consistency in the event that either snapshot is restored.

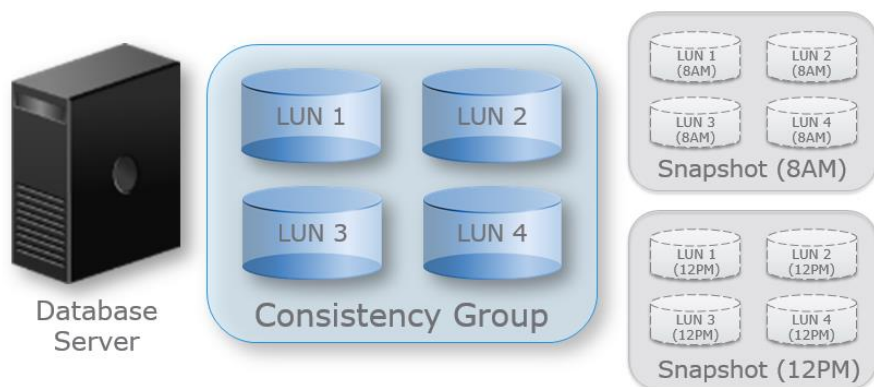


Figure 2 Consistency group

An important characteristic of consistency groups is that snapshots must cover all consistency group LUNs in order to ensure the entire group can always be restored to a single crash consistent point-in-time. As a result, some operations are not permitted for consistency groups with existing snapshots. In particular:

- A LUN with one or more existing snapshots cannot be added to a consistency group. Any snapshots must be deleted before the LUN can be added to ensure that the snapshot state of all LUNs in the consistency group is consistent.



- No LUNs can be added to a consistency group that has one or more snapshots. Such an addition would create a consistency group that could not be fully restored because the newly added LUN would not be protected at the same point in time as the rest of the consistency group. Therefore, snapshots of the consistency group must be deleted before adding any new LUNs to the group.
- Similarly, no LUNs can be removed from a consistency group with one or more snapshots. This removal would make it impossible to restore the full consistency group back to the snapped point-in-time, because the LUN would no longer be a part of the group. All consistency group snapshots must be deleted prior to removing any LUNs from the LUN group.

## 1.2 Operations

Several operations can be performed on Dell EMC Unity snapshots. These operations are uniform between block and file snapshots, with the exception of making these snapshots accessible to hosts. Supported operations for VMware vVol snapshots are discussed further in the [Interoperability](#) section of this paper. In Unisphere, snapshots are created and managed in the same manner, and their allocation can be viewed in a single location for each individual pool to help administrators assess which resource snapshots are consuming the most storage pool space. In addition to Unisphere, all operations may also be performed through UEMCLI and the REST API.

### 1.2.1 Create

Creating a snapshot creates a new point-in-time view of a block or file resource that is associated with the point-in-time at which the snapshot was taken. Immediately after creation, a snapshot consumes almost no space in the pool because it still shares all blocks with the primary resource. However, as new data is written to the parent resource, redirects occur and the snapshot begins to consume pool space that is not associated with the current production version of the parent resource. After a snapshot is created, the administrator can perform other snapshot operations if they are supported, such as restoring, copying, attaching/detaching, or deleting.

When administrators create a snapshot, the snapshot name can be left as the default value, which is based on the current date and time, or customized. For non-VMware vVols they can also add an optional Description and customize the Retention Policy, which determines the snapshot's automatic deletion behavior.

When administrators create a file snapshot, they can select an additional option that allows them to create either a Read-Only (hidden .ckpt access) or Read/Write (share) type of snapshot. This choice has implications for restoring and accessing file system snapshots. The major difference is that Read-Only snapshots allow the restoration of individual files through the .ckpt or Previous Versions method in addition to full file system level restore. Read/Write snapshots, on the other hand, can be made available to hosts as separate shares. Both snapshot types can be restored at the file system level. Figure 3 shows the File snapshot creation.

Figure 3 Create snapshot

## 1.2.2 Restore

Restoring a snapshot reverts its associated parent resource to the point-in-time at which the snapshot was taken. For LUNs, file systems, and VMware Datastores, this is the individual resource. For consistency groups, all LUNs in the group are restored to the single point-in-time that is associated with the snapshot. While restoring the LUNs, the system automatically creates a backup snapshot associated with the current point-in-time which allows administrators to reverse this operation and return the resource to the point-in-time immediately before the snapshot was restored. Before restoring a snapshot, Dell EMC recommends that you quiesce the application that is running on the production host, and then flush the host cache to prevent data corruption during the restore operation.

For Read-Only (.ckpt) file snapshots, it is possible to restore individual files from a snapshot without restoring the entire file system. This type of restoration is done through the Windows Previous Versions tab on recent versions of Windows, or by appending .ckpt to the share location on UNIX or older versions of Windows. To view the Previous Versions of a file system in Windows, right-click the file system, select Properties, and then view the Previous Version tab. Administrators can then copy individual files associated with the snapshot back into the current production file system. This functionality is not available for Read/Write (share) file system snapshots which are generally taken to provide host access to point-in-time file system data. Figure 4 shows the Previous Versions on Windows host.

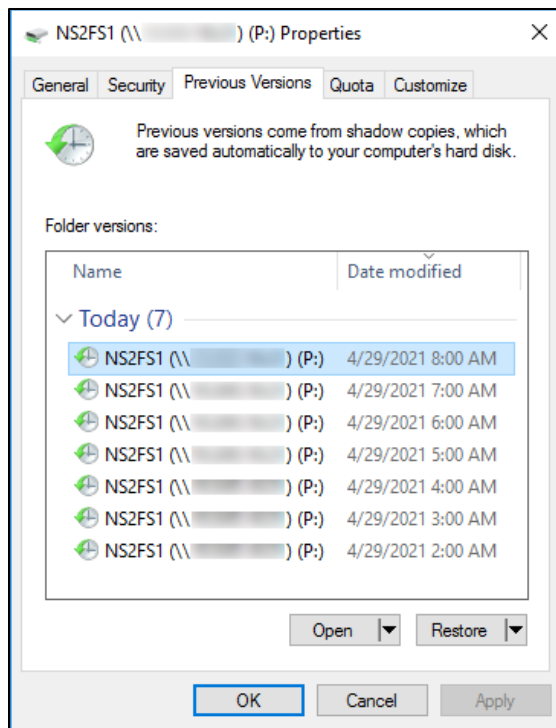


Figure 4 Previous Versions

### 1.2.3 Copy

The copy operation allows the current contents of an existing LUN, thin clone, consistency group, file system, and VMware VMFS and NFS Datastore snapshot to be duplicated. For example, after administrators makes some changes to a writable snapshot, they might duplicate it to preserve the view of the snapshot at a certain point-in-time. This operation can be thought of as taking a snapshot of a snapshot, and it enables administrators to take hierarchical snapshots that preserve data over multiple iterations of changes. When administrators copy a snapshot, the resulting copy is always created as a Read/Write share eligible snapshot, regardless of the type of snapshot that administrator copied.

### 1.2.4 Attach

Attaching a snapshot applies only to block snapshots. The operation makes the snapshot available for host I/O. A host must have configured snapshot access or LUN and snapshot access in order to access the snapshot. When a snapshot is attached, Unisphere provides administrators the option of creating a copy of the snapshot to preserve the current snapshot view for future use. If needed, the copy can be used later to discard any changes that were made to the snapshot while it was attached. Similarly, administrators can detach an attached snapshot in order to remove host access to the snapshot. Figure 5 shows the snapshot attach process.

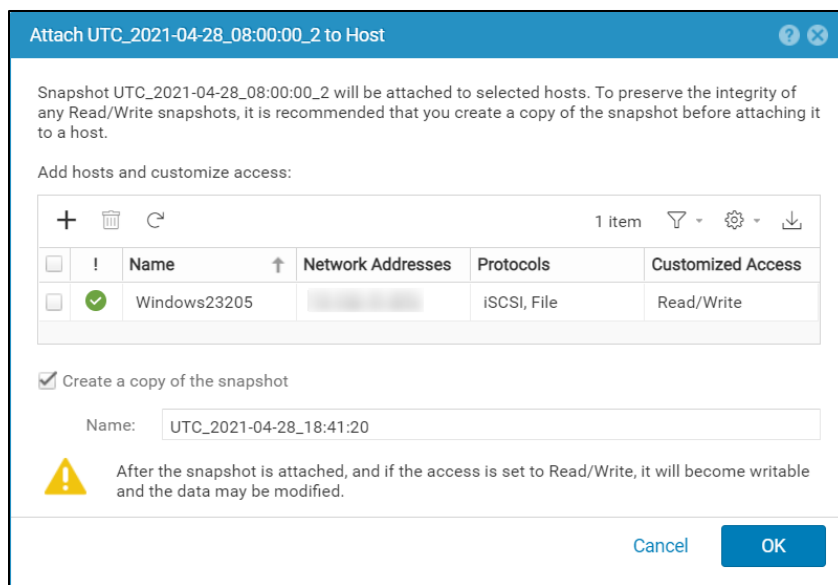


Figure 5 Attaching a snapshot

In Dell EMC Unity OE version 4.1 and later, multiple snapshots for a particular Block resource can be attached to one or more hosts at the same time – Figure 6 illustrates the multiple snapshot mount. Previously, only a single snapshot could be mounted to one or more hosts. This change allows snapshots, potentially with different information for a common source, to be mounted to one or more hosts at the same time.

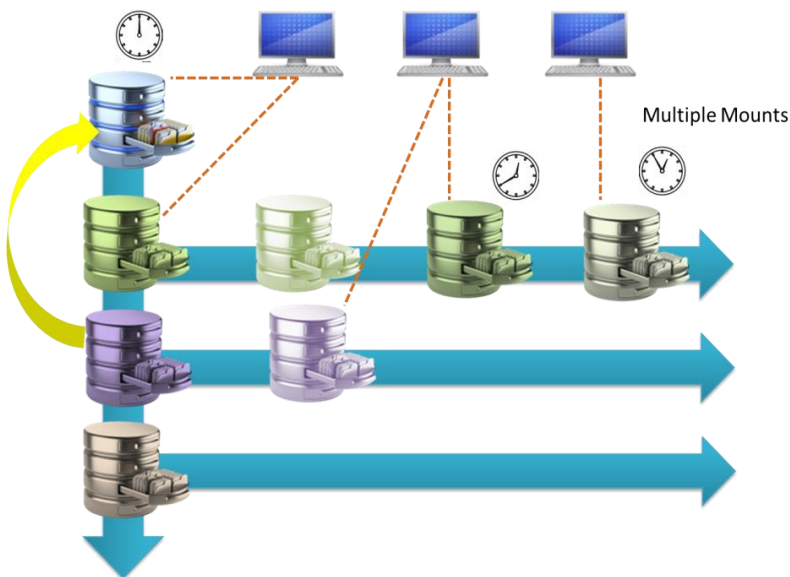


Figure 6 Multiple snapshot mount

Also, snapshots can be attached with Read-Only or Read/Write access – Figure 7 shows the **Select Host Access** screen and the **Access Type** option where the Read-Only or Read/Write option is specified. When the Read/Write Access Type is specified, an option is available to copy the snapshot, which preserves the current point-in-time view of the data.

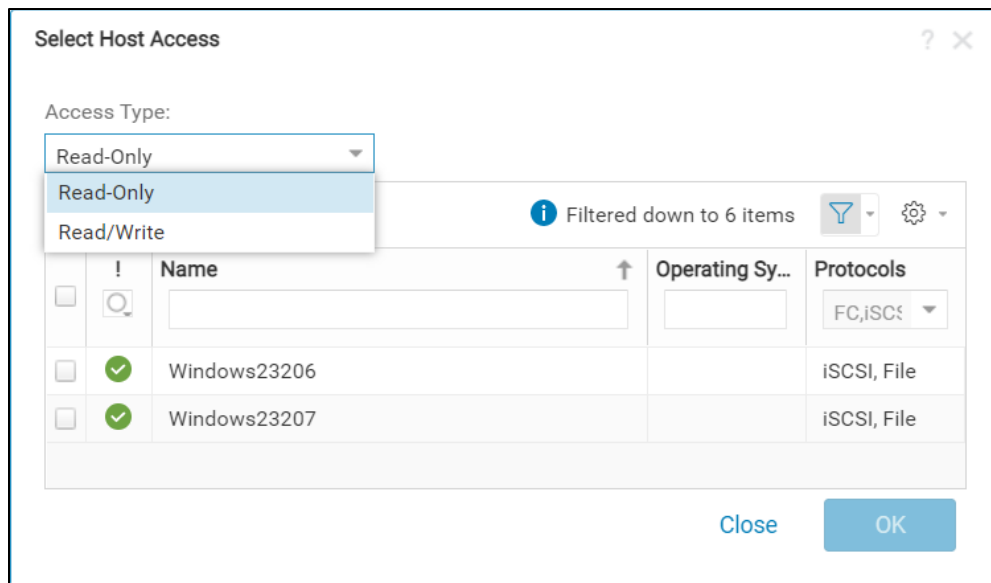


Figure 7 Host access to snapshot

Once a snapshot is mounted to a host, the snapshot can be accessed through a mount point as if it is a local storage drive. The snapshot is available through the mount point until the snapshot is detached from the host. Once the snapshot is detached from the host, the mount point is also removed. Reattaching the same snapshot or attaching a new snapshot to the host may or may not show up as the same mount point, which is not ideal in cases where a permanent mount point is needed.

Through Unisphere CLI, it is possible to create a permanent mount point, also known as a Snapshot Mount Point, on the host as a placeholder for snapshots of a given LUN. This reserves a mount point for the LUN's snapshot, even if other block storage objects are created and mounted to the same host afterwards. The most common use case for this functionality is scripting. For example, if a user creates a backup script that contains a variable pointing to the Snapshot Mount Point, they do not need to change the variable even when a snapshot is detached and a new snapshot is attached to the same host.

To create a Snapshot Mount Point, use the `uemcli /stor/prov/luns/lun -id <value> set [-snapHosts <value>]` command. The `-snapHosts` option allows the user to specify a comma separated list of host IDs to create the Snapshot Mount Point on. When utilizing the `uemcli /prot/snap -id <value> attach -type default` command in combination with the configured snapshot host(s), there is no need to specify the host to mount the snapshot to. This option, unlike the snapshot attach options in Unisphere, only allows a single snapshot to be mounted to each configured host at a time. To utilize the same multiple snapshot attach capabilities as Unisphere, utilize the `uemcli /prot/snap -id <value> attach -type dynamic` command.

Figure 8 below shows an example of a host with a Snapshot Mount Point. This placeholder can be used to attach any snapshot of the LUN to the same mount point. Assuming **LUN1**'s ID is **sv\_6**, and **Host**'s ID is **H1**, the following command can be used to create the Snapshot Mount Point on the host:

```
uemcli /stor/prov/luns/lun -id sv_6 set -snapHosts H1
```

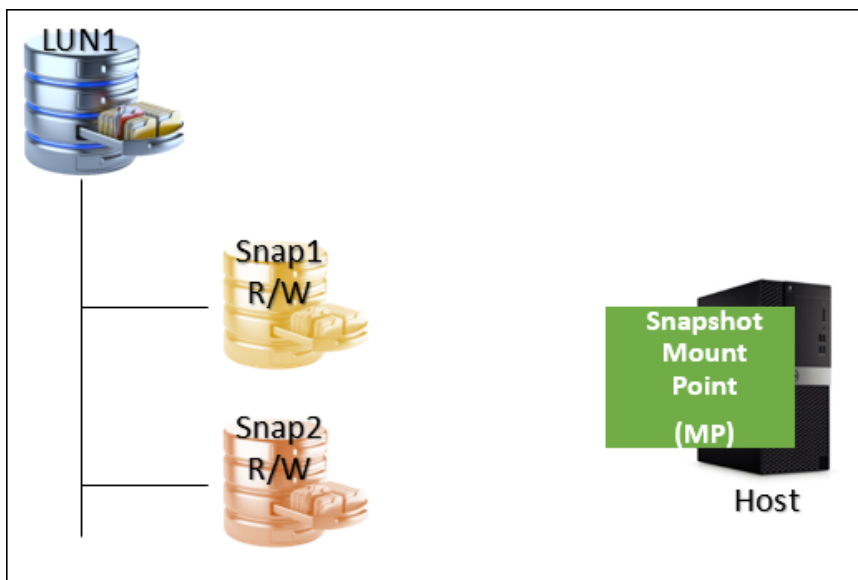


Figure 8 Snapshot host attach

Once you create the Snapshot Mount Point on your host(s), you can attach a snapshot to it. To attach a snapshot to the mount point, use the `uemcli /prot/snap -id <type> attach -type default` command. In the example below, the **Snap1** snapshot is being attached to the host using the mount point. After running the command below, the host now has access to the **Snap1** snapshot for **LUN1**, as shown in Figure 9.

```
uemcli /prot/snap -id Snap1 attach -type default
```

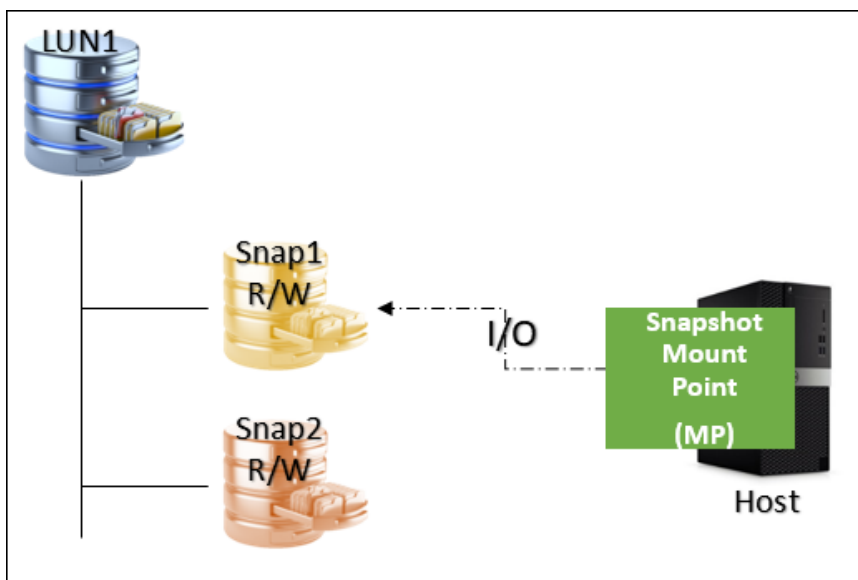


Figure 9 Default snapshot mount point – Mount Snap1

If the **Snap1** snapshot is detached and the **Snap2** snapshot is attached, the same mount point is used, as shown in Figure 10. Note that attached snapshots using this method are only shown via Unisphere CLI. The Unisphere GUI does not show attached snapshots using the `default` attach method.

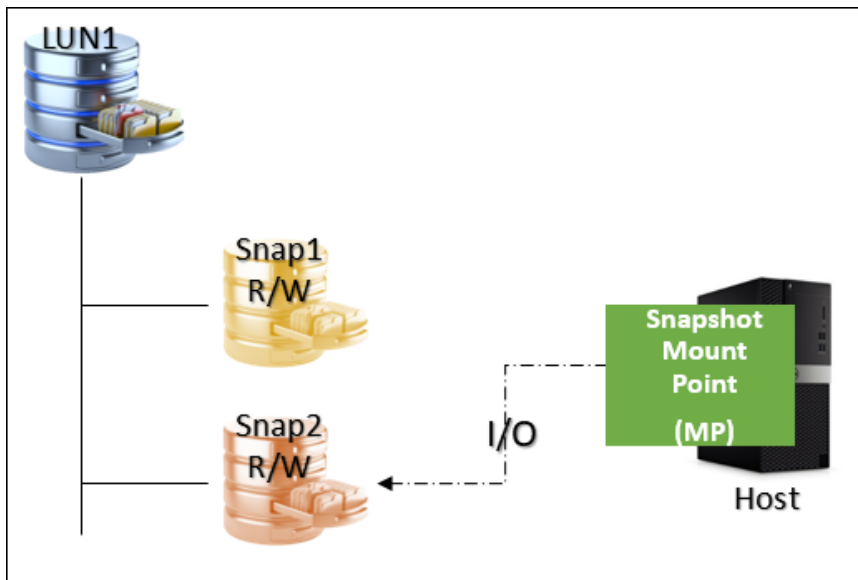


Figure 10 Default snapshot mount point – Mount Snap2

For more details about how to use Unisphere CLI, please reference *Dell EMC Unity Family Unisphere Command Line Interface User Guide* on Dell EMC Online Support.

### 1.2.5 Share

In order to access a writable file snapshot, the user must create a new share for this file system using the snapshot. This operation is accomplished through the same share creation wizard that is used to create regular shares, except **Snapshot for File System** is selected as the source during configuration. The administrator then provides a share name and path for the snapshot share similar to a regular file system share. Figure 11 shows the wizard page the administrator uses to create the new snapshot share. After the share is created, users can access the point-in-time data that is associated with the snapshot by navigating to the appropriate share.

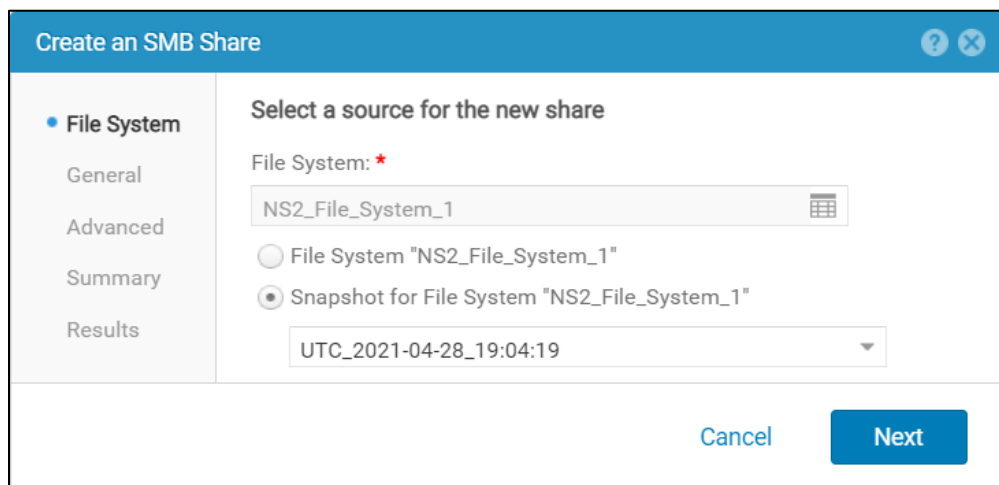


Figure 11 Creating a snapshot share

### 1.2.6 Refresh

In Dell EMC Unity OE version 4.1 and later, the snapshot Refresh option is available for Block storage resources level only. In Dell EMC Unity OE version 5.1 and later, the snapshot Refresh option is also

available for Read/Write file system and NFS datastore snapshots. This option allows a snapshot to be updated with the current data within the parent resource. A snapshot refresh operation deletes the current contents of the snapshot, including any changes to the snapshot, and updates the snapshot with the current contents of the source device. Before the refresh operation, the user can run a copy operation to preserve the current contents of the snapshot. If a snapshot is refreshed for a consistency group LUN, the point-in-time image is refreshed for all LUNs in the consistency group. When a file system or NFS datastore snapshot is refreshed, the original Taken timestamp of the snapshot is preserved. Figure 12 shows the **Refresh** option under **More Actions** within the **Snapshots** tab.

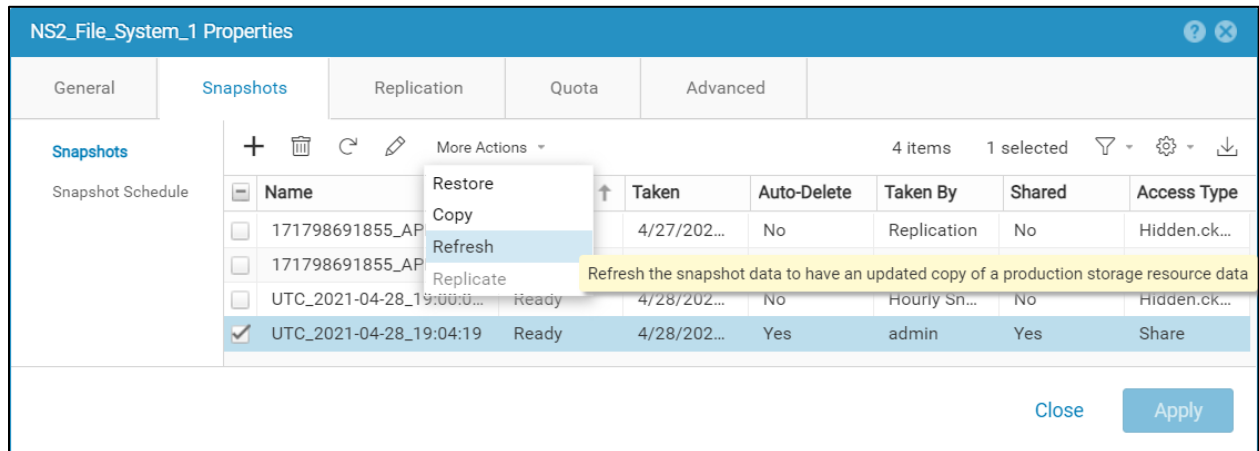


Figure 12 Snapshot Refresh

### 1.2.7 Delete

Deleting a snapshot removes the snapshot from the storage system, which might return space to the storage pool, depending on whether the snapshot contains any unique data. For example, a snapshot that was just created would return minimal space to the pool because almost all of its blocks would be shared with its parent resource, and therefore no space can be reclaimed. When a snapshot delete is initiated, the snapshot name is immediately prepended with the word `Destroying_<timestamp>` so the name can be reused immediately. The snapshot might take a short time to delete and continues to count against the snapshot limit until completely deleted. The length of time it takes for the delete operation to complete depends on the amount of unique data that is associated with the snapshot, and the total system load at the time of deletion.

Deleting snapshots might have a performance impact under some circumstances. These include when many snapshots are deleted at the same time, which can occur as a result of the automatic delete functionality. Because of this potential performance impact, Dell EMC recommends to stagger snapshot deletions whenever possible through snapshot scheduling or scripting delays. Performance might also be affected when the user deletes many snapshots on pools with a large number of NL-SAS drives or while FAST VP relocations are also running.

### 1.2.8 Replicate

With Dell EMC Unity OE version 4.2 and later, Asynchronous and Synchronous Replication supports the replication of Read-Only file and block snapshots to either a local or a remote site along with the source storage resource's data. Both scheduled snapshots and user created snapshots can be replicated. Snapshot replication can be enabled on all resources that support Asynchronous Replication, including: LUNs, thin clones, consistency groups, file systems, and VMware VMFS and NFS Datastores. The Replicate option can be used to manually set a snapshot for replication and for it to include in the next RPO synchronization. For



more information about snapshot replication, review the white paper titled *Dell EMC Unity: Replication Technologies* on Dell EMC Online Support. Figure 13 shows the Replicate option in the snapshot tab.

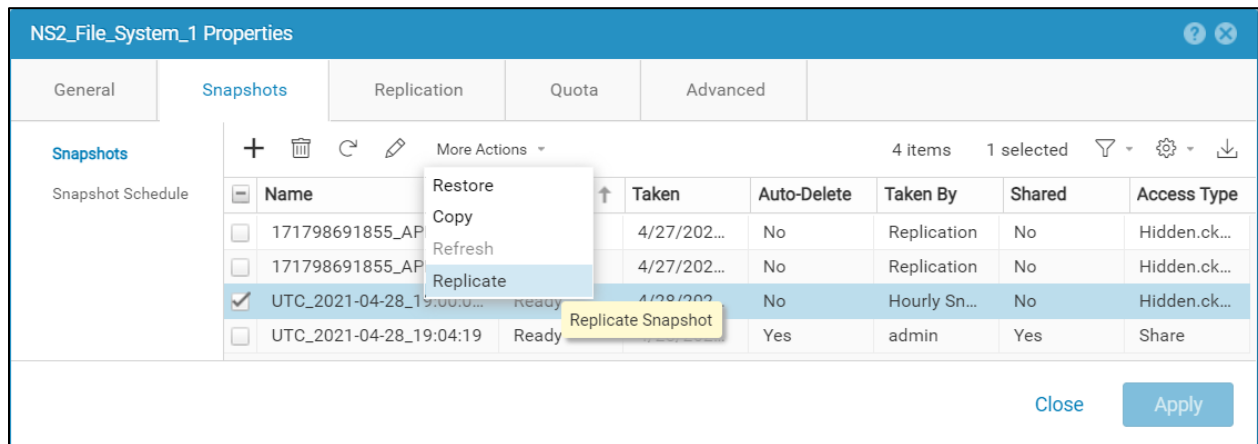


Figure 13 Replicate a snapshot

## 1.3 Properties

As administrators create, modify, and access snapshots in Dell EMC Unity, a snapshot's properties can be changed to reflect a number of different states. To understand these states, we will first define the properties associated with all snapshots, and then cover the possible states along with the conditions which might contribute to each state. Figure 14 shows some of these properties, some of which are set upon snapshot creation. These can be viewed on the **Snapshots** tab of the storage resource **Properties** page in Unisphere.

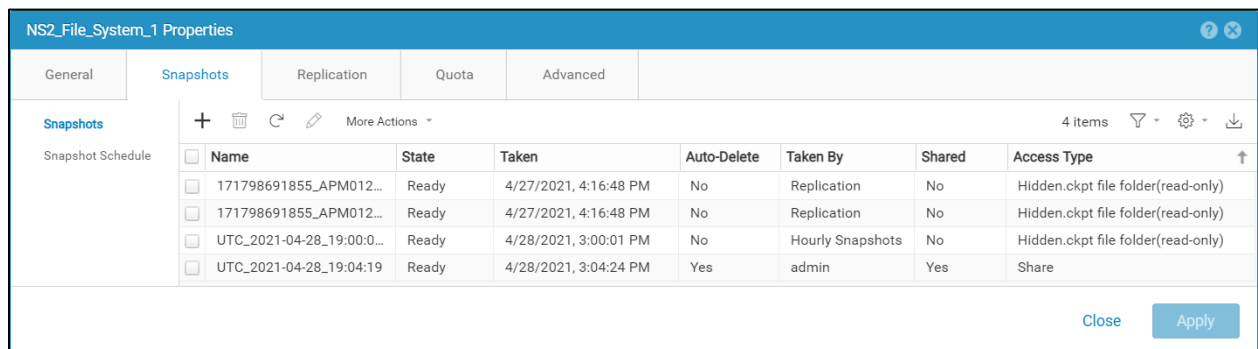


Figure 14 Snapshot properties

Here are the available snapshot properties:

- **Access Type:** For File snapshots, indicates the snapshot access type, either Read-Only (hidden .ckpt access) or Read/Write (Shares). The Access Type will either list Share or Hidden.ckpt file folder (read-only)
- **Async Replicated:** This attribute states the replication state for the snapshot. Possible values can be **No** for a snapshot that is not marked for replication, **Pending** for a snapshot that is marked for replication but is awaiting transfer, **Yes** for a snapshot that has successfully been transferred to the disaster recovery resource, and **Failed** for a snapshot that failed to be replicated. Note here, only Read-Only snapshots can be replicated. Read/Write snapshots cannot be replicated.

- **Attached:** This property is applicable to block snapshots only, and indicates whether the snapshot is currently attached. Multiple snapshots for a block storage resource may be attached at the same time.
- **Auto-Delete:** This indicates whether the snapshot is configured for pool space threshold based automatic deletion. Possible values are Yes or No. Snapshots configured for expiration based deletion display an auto-delete property of No.
- **CLI ID:** The identification number of the snapshot, to be used in CLI commands which require a particular snapshot to be referenced.
- **Clone Count:** The number of thin clones associated with the snapshot.
- **Expiration Time:** This property is only applicable for snapshots configured for expiration based deletion, and displays the time at which the snapshot expires. Note that the actual deletion may occur up to one hour after this time, as described later in the Expiration section of this paper.
- **Host I/O Limit:** The Host I/O Limit assigned to the snapshot. If no Host I/O Limit is assigned, this value will be blank.
- **Last Refresh Time:** Date and time of last refresh operation on the snapshot.
- **Last Writable Time:** This displays the last time a snapshot was able to be written to, either because it was attached (block) or shared (file). Snapshots that have never been writable will not display any value.
- **Modified:** This represents whether or not the snapshot has been changed from its original state. This property defaults to No when a snapshot is initially taken, but is changed to Yes for writable snapshots when they are attached or shared. Read-Only file system and NFS datastore snapshots can never be modified, and as a result, this will always show as No.
- **Name:** By default, the snapshot name is the timestamp that the snapshot was taken, in UTC\_YYYY-MM-DD\_HH:MM:SS format. The default name can be changed to a user defined name during or after snapshot creation. Snapshot names must be unique across all snapshots on the system.
- **Replication Origin:** Displays the serial number of the original system that the snapshot was created on and replicated from.
- **Shared:** This property is applicable to file system and NFS datastore snapshots only, and indicates whether the snapshot is currently available to clients as a share. The default value is No, and changes to Yes when the Read/Write snapshot is used to create shares. For Read-Only snapshots, this property is always set to No.
- **Source:** This property displays the parent resource of which the snapshot was taken. When taking a first level snapshot or a copy of an unattached/unshared snapshot, the source resource is set to the source resource. When taking a copy of an attached/shared snapshot, the source is that snapshot. If a source 1<sup>st</sup> level snapshot is deleted or detached later, the source of the 2<sup>nd</sup> level snapshot (copy) becomes the source resource.
- **State:** This property represents the current status of the snapshot. The possible state values include Ready, Initializing, Offline, and Destroying. There is no Expired state for snapshots which have passed the expiration date. These snapshots will simply be deleted when the next hourly deletion process begins as described in more detail in the Expiration section of this paper.
- **Sync Replicated:** This attribute states the replication state for the snapshot. Possible values can be **No** for a snapshot that is not marked for replication, **Yes** for a snapshot that has successfully been transferred to the disaster recovery resource, and **Failed** for a snapshot that failed to be replicated. Note, only Read-Only snapshots can be replicated. Read/Write snapshots cannot be replicated.
- **Taken:** This represents the date and time at which the snapshot was taken.
- **Taken By:** This displays the user or resource that created the snapshot. If the snapshot was created by a snapshot schedule, the schedule name will be listed. If the snapshot was created by replication, Replication will be listed.

## 1.4 Retention policy

Because snapshots leverage the storage pool for protection space, Dell EMC Unity offers several automatic deletion options to control the amount of space that snapshots consume. Automatic deletion can be caused by the amount of space that snapshots are taking from a storage pool or by a snapshot's retention policy which can only be set on non-vVol snapshots. The retention policy can also be set to No Automatic Deletion if required. An automatic deletion method, or retention policy, is a required parameter for each non-vVol snapshot created, and can be modified after creation. Figure 15 shows the Retention Policy of snapshot for a block resource storage.

Figure 15 Create Snapshot window

### 1.4.1 Pool automatic deletion

The Pool Automatic Deletion Policy option is supported on resources that support a Retention Policy. When a snapshot is created, the default automatic deletion option is Pool Automatic Deletion Policy, which deletes the oldest snapshots as necessary depending on the amount of space snapshots consume from the pool and/or the total pool space consumed. Thresholds are set on a pool level and only snapshots set to the Pool Automatic Deletion Policy on this pool are deleted when the thresholds are crossed.

The following two types of thresholds exist per pool, and they can be enabled for disabled independently of one another. Illustrated on Figure 16:

- Total pool consumption threshold: Enabled by default, start deleting at 95% full until 85% full
- Snapshot pool consumption threshold: Disabled by default, start deleting at 25% consumed until 20% consumed

Figure 16 Pool Properties Snapshot Settings

Each threshold has a configurable high and low watermark setting. In the case of the total pool consumption setting, when the total amount of used space in the storage pool passes the total pool consumption high watermark, the oldest snapshots residing on that pool with retention policy set to pool automatic deletion begin being deleted. This continues until the low watermark is passed. The snapshot pool consumption setting works similarly if enabled, except that the total pool space consumed specifically by snapshots must exceed the high watermark in order for automatic deletion to be initiated. Note that any snapshots with time based or disabled automatic deletion settings are not subject to automatic deletion.

## 1.4.2 Expiration

Snapshots that have a retention policy can be configured for time-based expiration by specifying a particular time at which the snapshot is automatically deleted. When snapshots expire, they are marked for deletion but might not be deleted immediately. An hourly task is responsible for deleting any expired snapshots, so any expired snapshots might remain on the system for up to an hour. The state of expired snapshots continues to display as Ready until the task begins the actual deletion operation, then the state updates to Deleting. Snapshots that are configured for time-based expiration are not eligible for automatic deletion when pool or snapshot space thresholds are crossed. Table 1 has details about the snapshot retention timeframe:

Table 1 Snapshot retention

	CLI		GUI		REST API	
	Manual	Scheduled	Manual	Scheduled	Manual	Scheduled
<b>Local Snapshots</b>	1 Year	1 Year	5 Years	4 Weeks	100 Years	No Limit
<b>Remote Snapshots</b>	5 Years	255 Weeks	5 Years	255 Weeks	5 Years	255 Weeks

## 1.4.3 No automatic deletion

Snapshots supporting the retention policy option can also be set to No Automatic Deletion. In this case, the snapshot is not affected by pool threshold settings or expiration dates. The snapshot will continue to exist until it is manually deleted or invalidated. Unless the user modifies the individual snapshot properties after

creation, the user cannot configure the No Automatic Deletion option for scheduled snapshots. This setting prevents a large number of snapshots from being created and incrementally filling the pool without the administrator's knowledge, which could lead to an unnecessarily filling of the storage pool and triggering snapshot invalidation.

#### 1.4.4 Invalidation

While the Dell EMC Unity snapshot retention policies aim to reduce the likelihood that an oversubscribed pool becomes entirely full as the result of snapshots, this condition can occur in rare cases when snapshots exist that are not configured for automatic deletion or are retained for too long. Because snapshots configured for time based automatic deletion or no automatic deletion are not subject to automatic deletion on the basis of the pool or snapshot space thresholds, it is possible that an overprovisioned pool can become entirely filled while at the same time, advertising available space to its clients. In this case, either the host I/O must be failed, or snapshots must be invalidated to make room for new writes.

The snapshot invalidation behavior depends on the resource type and configuration. For block, this behavior is different for Thin LUNs and Thick LUNs. For file, this behavior depends on the `-poolFullPolicy` setting, which can be configured using the `UEMCLI /stor/prov/fs` command. This provides the administrator with the option to configure each individual file system to either delete all associated snapshots (including system replication snapshots) or fail host I/O to that resource. The default option is `failWrites`. The behavior for each resource type and configuration is described in Table 2.

Table 2 Invalidation behavior

Resource Type	Behavior
Thick LUN	Complete host I/O & Invalidate Snapshots
Thin LUN	Fail host I/O & Preserve Snapshots
File system (deleteallsnaps)	Complete host I/O & Invalidate Snapshots
File system (failwrites)	Fail host I/O & Preserve Snapshots

In addition to snapshot invalidation, file resources also include low space handling. When the pool's free space goes below the "start" threshold (4GB), the file system and its Read/Write snapshots are mounted as Read-Only. If the pool's free space goes above the "stop" threshold (20GB), the file systems that changed to Read-Only return back to Read/Write.

## 1.5 Snapshot schedules

In addition to being taken manually, snapshots can be scheduled to be taken automatically at specific times or frequencies. These schedules can be applied to LUNs, thin clones, consistency groups, file systems, and VMware VMFS and NFS Datastores. A single schedule can also be applied to many individual resources. By default, three snapshot schedules exist in Unisphere and are titled Default Protection, Protection with shorter retention, and Protection with longer retention, with each taking daily snapshots and retaining them for various lengths of time.

When creating custom snapshot schedules, the options are similar to those available for taking a manual snapshot. Two scheduling options are available - Every number of hours and Daily/Weekly, of which one or both can be used. When configuring snapshots for every number of hours, snapshots are scheduled to occur from every 1 to 24 hours, where daily/weekly snapshot scheduling allows administrators to specify certain times on specific days for snapshots to occur. A retention policy must also be set for each selected option,

which can be set to pool automatic deletion or time-based retention. Figure 17 shows the Snapshot Schedule page.

<input type="checkbox"/>	Name	Type	↑ Sync Replicated	In Use
<input type="checkbox"/>	Default Protection	System Defined	No	Yes
<input type="checkbox"/>	Protection with shorter retention	System Defined	No	Yes
<input type="checkbox"/>	Protection with longer retention	System Defined	No	Yes
<input type="checkbox"/>	Hourly Snapshots	User Defined	No	Yes

Figure 17 Snapshot Schedule page

A new snapshot schedule can be created ahead of time on the Snapshot Schedule page, or when a new storage resource is created from within the creation wizard as an alternative to selecting an existing schedule. When creating a schedule in this manner, the schedule is still added to the system-wide list of snapshot schedules, which allows it to be reused for other storage resources in the future. Snapshot schedules, except for system-defined schedules, can be modified or deleted after creation. However, note that snapshot schedules cannot be deleted while they are associated with a storage resource. Figure 18 shows the creation of the snapshot schedule.

**Create Schedule**

Name:  [Change schedule time zone](#)

Synchronize snapshot schedule to remote system

**Snapshot Frequency**

Every  hours

Retention Policy

Pool Automatic Deletion Policy

Retain for

Daily / Weekly

Mon  Tue  Wed  Thu  Fri  Sat  Sun

Snapshot time:

Retention Policy

Pool Automatic Deletion Policy

Retain for

Note: Times are displayed in Local Time (UTC -04:00) in 12-hour format.

Figure 18 Creating a Snapshot Schedule

### 1.5.1 Schedule time zone

Snapshot schedule times are stored internally within a Dell EMC Unity system in coordinated universal time (UTC time). Due to seasonal time changes in certain regions of the world, the automatic creation of snapshots can become out of sync with the local time as UTC time does not change. For example, snapshots created at 1:00AM local time in one part of the year may be taken at 12:00AM in another part of the year. To avoid this issue, a user must manually validate and correct the settings of a snapshot schedule when a seasonal time change has occurred.

In Dell EMC Unity OE version 5.1 and later the Schedule Time Zone option can be set to correct snapshot schedule timing. This feature automatically adjusts the timing of snapshot schedules with the seasonal time changes of the assigned time zone. The Schedule Time Zone option applies to system defined and user created snapshot schedules. This option can be found in **Settings > Management > Schedule Time Zone**, as shown in Figure 19. A link to this page is also available within the Create Schedule page, as shown in Figure 18.

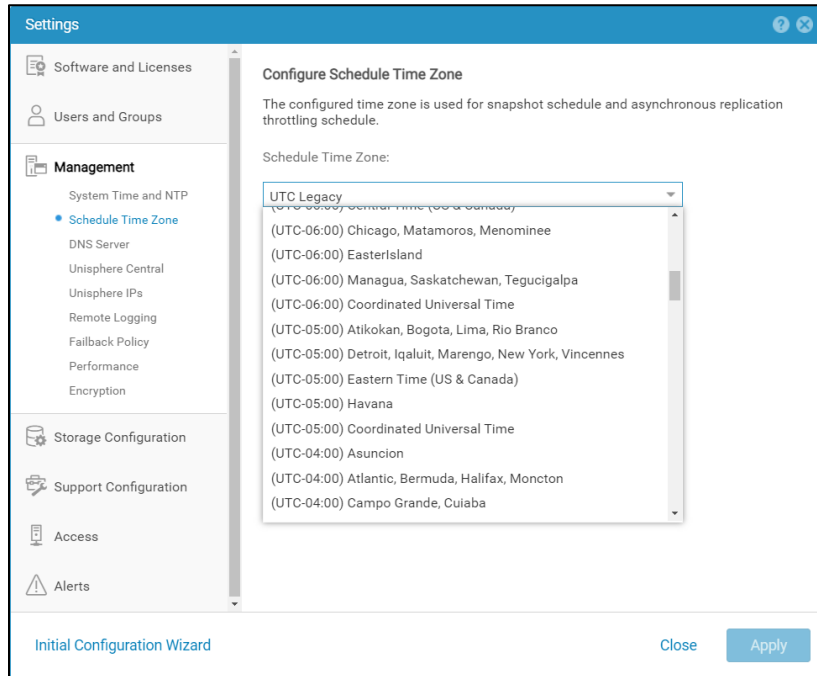


Figure 19 Configure Schedule Time Zone

After installing or upgrading to the 5.1 release or later, the system is set to UTC Legacy setting by default. UTC Legacy keeps the default handling and does not adjust schedules due to seasonal time changes. The user has the option to choose from over 100 times zones, covering over 300 cities around the world. The time zone of a system can be changed at any time, which may be required if the system is relocated from one site to another.

After changing the time zone, it is recommended to verify the timing is correct for snapshot schedules that are in use on the system. When the Schedule Time Zone option is changed, the timing of snapshot schedules are not updated to reflect the new time zone. This may cause snapshots to be created at times different than what is required. If the timing is no longer correct for a user created snapshot schedule, it should be modified to reflect the required timing. System defined schedules cannot be modified, and a new schedule must be created if specific timing is required. It is also important that the Schedule Time Zone option is not updated near the time a scheduled snapshot is due to be created. Changing the time zone option may cause the creation of the snapshot to be skipped.

## 1.6 Snapshot shipping

Beginning with Dell EMC Unity OE version 4.2, the Replicate Scheduled Snapshots option can be enabled when a replication session is created. In Dell EMC Unity OE version 5.1 and later, the Cascade Replicated Snapshots option is also available for file resources. Both settings control if snapshots will be replicated to the replication destination(s). These options can be enabled or disabled at any time throughout the lifetime of the replication session from the **Replication Session** tab of the storage resource's **Properties** page. Scheduled

and user snapshots can be replicated with a remote retention policy that is longer than the source snapshot. For example, the retention policy for the source snapshot might be 2 hours, while the retention policy for the replicated snapshot can be set for 1 week. This can be configured through creating replication session and **Snapshot Schedule** setting that can be found on the **Snapshots** tab in the resource **Properties** page. Figure 20 shows the replication session details in Unisphere. Review the white paper titled *Dell EMC Unity: Replication Technologies* on Dell EMC Online Support for more information.

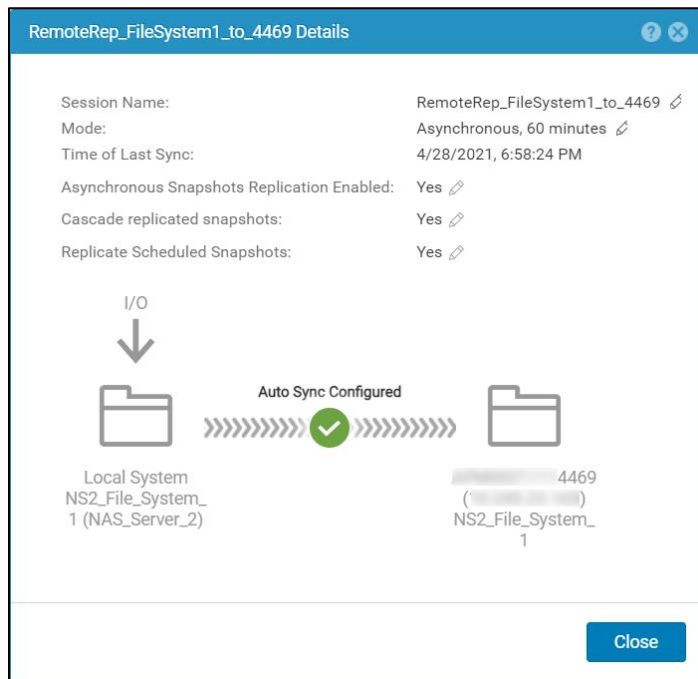


Figure 20 Replication session properties

## 1.7 Interoperability

Dell EMC Unity snapshots are fully compatible with other data services, which allow administrators to easily protect their critical data regardless of their environment, configuration, or use case. For most features and data services, there is no direct interaction with snapshots. However, several features have interactions with or dependencies on snapshot functionality. Considerations for using these features in conjunction with snapshots are discussed in this section.

### 1.7.1 Thick storage objects

Although snapshots can be taken on both Thick and Thin storage objects, Dell EMC recommends only taking snapshots of Thin storage objects. Snapshots are a space-efficient feature that requires Thin provisioning; therefore, taking a snapshot of a Thick storage object will convert it to Thin. This conversion process can strand some storage capacity in the pool, making it unusable while the snapshots are in place. There can also be performance impacts from taking a snapshot on a Thick object. If a snapshot needs to be taken on a storage object that was created as Thick, it is recommended to first migrate to a Thin storage object, and then create the snapshot.

### 1.7.2 Compression/Data Reduction/Advanced Data Deduplication

In Dell EMC Unity OE version 4.1 and later, Compression can be enabled on LUNs and VMware VMFS Datastores. In Dell EMC Unity OE version 4.2, Compression support was added for file systems and VMware



NFS Datastores for resources created on the 4.2 release or later. The snapshot feature is fully supported with Data Reduction that was introduced in Dell EMC version 4.3, and benefits from the space savings achieved on the source storage resource. When taking a snapshot of a Data Reduction enabled storage resource, the data on the source may be compressed. The data is left in its Data Reduction state, and the snapshot inherits the savings achieved on the source storage resource. This savings is also calculated and reported as part of the GBs saved Data Reduction information.

When a snapshot is mounted Read/Write, and the source storage resource has Data Reduction enabled, Data Reduction is utilized on any snapshot I/O. Savings can also be achieved on writes to a snapshot. As write operations are received, if the source storage resource has Data Reduction enabled, snapshot writes are also passed through the Data Reduction Algorithms. This saving is tracked and reported as part of the GBs saved for the source storage resource.

In Dell EMC Unity OE version 4.5, Data Reduction includes an optional feature called Advanced Deduplication, which expands the deduplication capabilities of the Data Reduction algorithm. Advanced Deduplication is included as an optional feature to Data Reduction algorithm for Dell EMC Unity configurations that support it. Please review the *Dell EMC Unity: Data Reduction* white paper found on Dell EMC Online Support for more information.

### 1.7.3 Dell EMC Unity file system

The Dell EMC Unity file system introduces a new 64-bit NAS architecture. This new file system brings many benefits to Dell EMC Unity including enhanced scalability, efficiency, and flexibility. It offers the ability to automatically and manually shrink and extend file systems to adjust to new client capacity demands as well as dynamically optimize storage pool and file system utilization. When shrinking a file system, it is possible for space to be returned to the underlying storage pool to be reused by other storage resources. However, the returned amount depends on many factors, including the presence of snapshots.

When a file system shrinks, space can be returned to the storage pool as long as that space is not associated with an existing snapshot. For example, if a 100GB fully allocated file system shrinks to 80 GB, approximately 20 GB will be returned to the storage pool if there are no existing snapshots of the file system. This is because the 20 GB being shrunk is no longer needed by the file system, or any other resources. However, suppose the same shrink operation was initiated immediately after taking a snapshot of the 100GB file system. In this case, almost no space would be returned to the pool because the 20 GB being shrunk from the file system is associated with the snapshot, and the point-in-time at which the snapshot was taken, and the file system was 100 GB, must be preserved. A different example is illustrated in Figure 21.

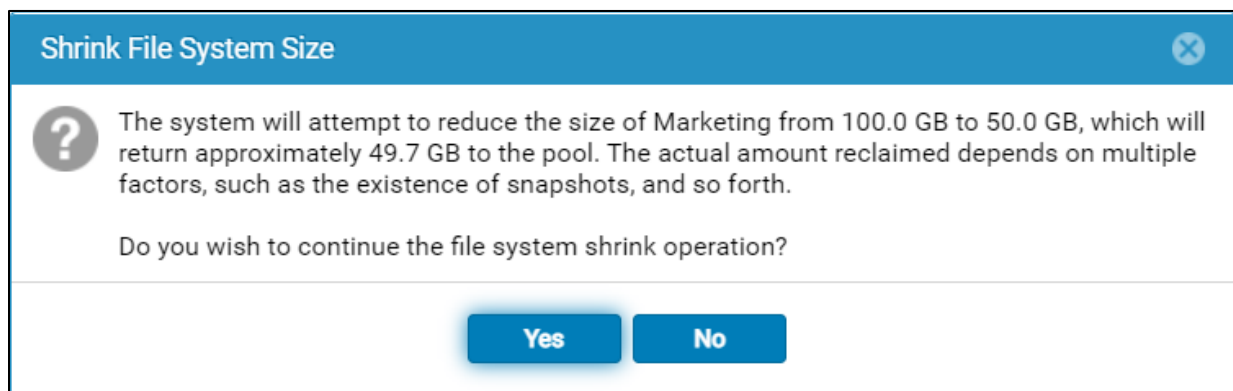


Figure 21 Shrink after taking snapshot

These are two extreme examples to illustrate the potential impact that the presence of snapshots can have on file system shrink and reclaim operation. Under normal operation, however, the effects will be more moderate. In general, the portion of shrunken space actually reclaimed to the pool in the presence of snapshots depends on the amount of changed data since the most recent snapshot was taken. For example, if a snapshot is taken of a file system, and then the file system is completely overwritten prior to initiating the shrink operation, all of the expected space is returned to the pool because the current production file system no longer shares blocks with any snapshots. In other words, shrunken space can only be reclaimed if it is not being referenced by one or more snapshots.

## 1.7.4 VMware vVols

Virtual Volumes (vVols) is a storage framework introduced in VMware vSphere 6.0 that is based on the vStorage API for Storage Awareness (VASA) 2.0+ protocol. vVols enable VM-granular data services and Storage Policy Based Management (SPBM). In order to configure vVols, you must have:

- VMware vCenter 6.0 or newer
- VMware ESXi 6.0 or newer
- VMware vSphere Web Client

vVols enable storing VM data on individual virtual volumes, which reside on a vVol datastore, also known as a storage container. Data services, such as snapshots and clones, can be applied at a VM-level granularity and are offloaded to the Dell EMC Unity system. Also, policies and profiles can be leveraged to ensure VMs are stored on compliant storage. Any VMs that become noncompliant result in an alert to the administrator.

Snapshots can be created at a VM-level granularity by applying them only to the vVols that are related to a specific VM. VASA allows vSphere to communicate with the Dell EMC Unity system to facilitate offloading of the create snapshot storage-related tasks. Since these tasks are processed by the storage system, the ESXi host's CPU, memory, and network utilization is reduced. Dell EMC Unity creates a snapshot of the associated vVol for that particular VM, leaving other VMs on the same datastore unaffected as they are stored on different vVols. After the snapshot is created, a new Data vVol is created to store the snapshots contents. Note that some vSphere workflows, such as data migration with vMotion, will remove all data vVol snapshots for the corresponding VMDKs. The removed data for these vVol snapshots cannot be restored once deleted.

In Dell EMC Unity OE version 5.1 and later snapshots of Data Type (.vmdk) vVols can be created using Unisphere, Unisphere CLI and REST API. Creating a snapshot of the .vmdk vVol does not snap the entire virtual machine and its settings. If virtual machine level snapshots are required, it is recommended that snapshot creation and management is completed directly using VMware vSphere. The **Snapshots** tab within the properties of a Data Type vVol displays both vSphere and Dell EMC Unity created snapshots. An example of this window can be seen in Figure 22. vVol snapshots created directly on the Dell EMC Unity system are not visible in vSphere.

VM1.vmdk Properties							
General		Binding Details		Snapshots		Host I/O Limit	
+ [trash] [refresh] More Actions							2 items [gear]
<input type="checkbox"/>	Name	Creation Time	Type	Virtual Machine	Size(GB)	Datastore	Replica Type
<input type="checkbox"/>	UTC_2021-04-28_18...	4/28/2021, 2:05:44 PM	Data	VM1	22.0	vVol Datastore	Ready Snap
<input type="checkbox"/>	VM1.vmdk	4/29/2021, 7:39:21 PM	Data	VM1	22.0	vVol Datastore	Ready Snap

Figure 22 vVol properties, Snapshots tab

As mentioned, creating a snapshot of the Data Type vVol can be accomplished using Unisphere, Unisphere CLI, and REST API. vVol snapshots can only be created manually as snapshot schedules are not supported on vVols. When creating a vVol snapshot, only the name can be customized, which is set for the life of the vVol. vVol snapshots that are no longer needed must be deleted manually, as vVol snapshots are not automatically deleted by the system. An example of the Create Snapshot windows is shown in Figure 23. As a vVol snapshot creates a new independent vVol, it is possible to create a snapshot of a snapshot.

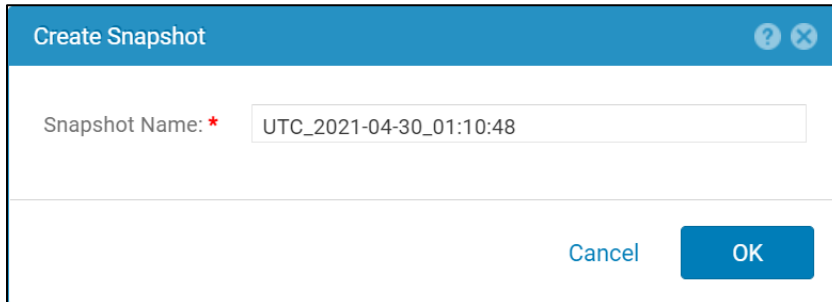


Figure 23 Create vVol snapshot

Snapshots created in vSphere or directly within the storage system can also be restored in Unisphere, Unisphere CLI, and REST API. Snapshots created in the Dell EMC Unity system can only be restored from the storage system as they are not displayed in vSphere. In Unisphere the **Restore** button can be found in the vVol's properties page on the **Snapshots** tab under **More Actions**. An example on this screen is shown in Figure 24. When initiated, the restore operation returns the vVol to the previous point-in-time captured within the snapshot. When restoring a vVol snapshot, the parent virtual machine must be powered off. If the virtual machine is not powered off, an error message will be displayed.

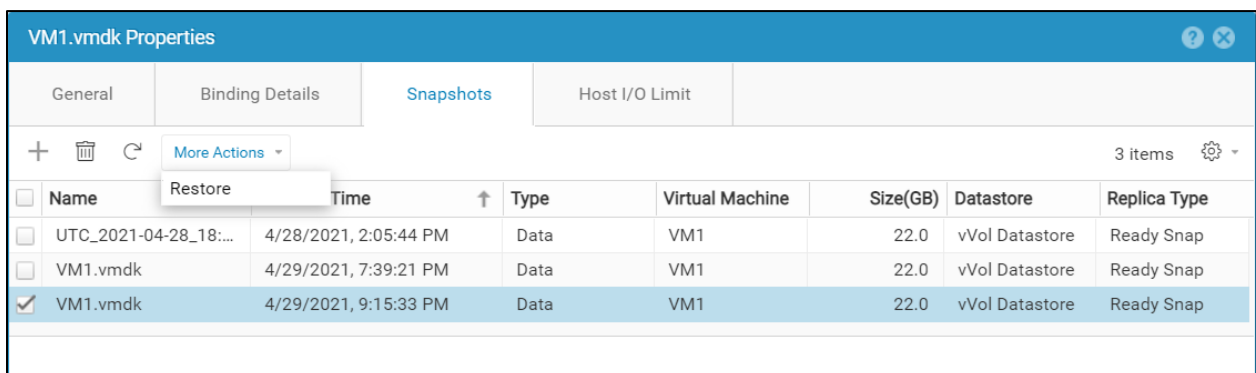


Figure 24 vVol restore

For more information about VMware vVols and Dell EMC Unity, review the *Dell EMC Unity: Virtualization Integration* white paper found on Dell EMC Online Support.

## 1.7.5 Replication

Dell EMC Unity offers several options for remotely protecting production data, including native asynchronous unified replication, native synchronous block and file replication, and RecoverPoint support. Asynchronous unified replication, leverages Dell EMC Unity snapshot technology to replicate point-in-time data. As a result considerations when using snapshots should also be applied to native asynchronous replication.

When a native asynchronous replication session is created, two internal system-managed snapshots are created on each of the source and destination resources. Whenever a sync is performed, either automatically due to RPO or manually, one of the snapshots on the source resource is refreshed to reflect the point-in-time

when the sync started. This snapshot is then differentially copied to the destination resource, so only new or changed data is transferred. When this transfer is complete, the corresponding snapshot on the destination resource is refreshed to reflect the point-in-time associated with the copy. At this point, these snapshots on the source and destination represent a common base which reflects the point-in-time of the last sync on both the source and destination. The next time a sync begins, the process is repeated using the second pair of snapshots on the source and destination resource, which then becomes the most recent common base. This process continues to ensure that the common base is always preserved until the next sync completes, so the session can always be recovered from either system if necessary. Figure 25 shows the replication snapshots on the **Snapshots** tab.

LUN 1 Properties							
General	Host Access	Snapshots	Replication	Host I/O Limit			
Snapshots		+ [Icons] More Actions			5 items [Filter] [Settings] [Download]		
Snapshot Schedule	<input type="checkbox"/>	Name	State	Taken	Auto-Delete	Taken By	Attached
Snapshot Access	<input type="checkbox"/>	UTC_2021-04-28_23:06:08	Ready	4/28/2021, 7:09:27 PM	No	admin	No
	<input type="checkbox"/>	42949672965_APM01204701...	Ready	4/27/2021, 4:11:26 PM	No	Replication	No
	<input type="checkbox"/>	42949672965_APM01204701...	Ready	4/27/2021, 4:11:26 PM	No	Replication	No
	<input type="checkbox"/>	UTC_2021-04-29_08:00:00_2	Ready	4/29/2021, 4:00:01 AM	No	Default Protection	No
	<input type="checkbox"/>	UTC_2021-04-30_08:00:00_1	Ready	4/30/2021, 4:00:01 AM	No	Default Protection	No

Figure 25 Internal replication snapshots

Because the system manages these internal replication snapshots, no user operations can be performed on these snapshots, however they are visible in Unisphere. Similarly, replication snapshots will not expire (other than being refreshed) or be automatically deleted based on storage pool or snapshot space used thresholds. However, these snapshots are not exempt from invalidation, in the event that the storage pool becomes completely full. If the storage resource is configured to invalidate snapshots in this scenario, replication snapshots are also invalidated as necessary in order to not fail host I/O. When this happens, the associated replication sessions become unavailable, having lost the common base, and require a full synchronization to the destination upon recovery.

In Dell EMC Unity OE version 4.2 and later, when asynchronous replication is enabled on the storage resource, there is the option for scheduled and manual snapshots to be replicated. The destination can have a remote retention policy that is longer than that of the source resource. This operation can be set during the snapshot creation, replication sessions and while editing existing snapshots. For example, users can set the retention policy for the source snapshots might be 2 hours, while the retention policy for the replicated snapshot be 1 week. In Dell EMC Unity OE version 5.1 and later the cascade replicated snapshots option is added for file advanced replication sessions. This option enables snapshot replication across replication sessions within a cascade and/or fan-out advanced file replication topology. For more information, review the white paper titled *Dell EMC Unity: Replication Technologies* on Dell EMC Online Support.

With Dell EMC OE version 4.4, Synchronous File Replication, also known as Dell EMC Unity MetroSync is available. Included with the MetroSync, Read-Only Snapshots and a Snapshot Schedule can be replicated to the destination system seamlessly. When a user creates Read/Write snapshots on the source, it will not be replicated to the destination system. When a snapshot gets deleted on the source, it will automatically get deleted on the destination. Creating, modifying or deleting a snapshot on the destination does not affect source snapshots.

Additionally, with MetroSync a Snapshot Schedule can be replicated. By applying a replicated snapshot schedule to an associated resource, that resource automatically applies the same schedule to the destination.

When creating a new Snapshot Schedule, the user has the option to select the "Synchronize snapshot schedule to remote system" option. This option is only available when creating a Snapshot Schedule and is only applicable to Synchronous File Replication shoes in Figure 26 below. During the creation of a file system, a user can select the desired Snapshot Schedule to be applied. Once the selected Snapshot Schedule is applied, it cannot be modified on the destination system. However, a user can modify the Snapshot Schedule on the source system, and the changes will be reflected on the destination system.

The screenshot shows a 'Create Schedule' window with the following details:

- Name:** Schedule00
- Option:**  Synchronize snapshot schedule to remote system
- Snapshot Frequency:**  Every 6 hours
- Retention Policy (Frequency):**  Pool Automatic Deletion Policy,  Retain for 7 Hours
- Daily / Weekly:**  Daily / Weekly
- Days:**  Mon,  Tue,  Wed,  Thu,  Fri,  Sat,  Sun
- Snapshot time:** 12:00 AM
- Retention Policy (Daily/Weekly):**  Pool Automatic Deletion Policy,  Retain for 7 Days
- Note:** Times are displayed in Local Time (UTC -04:00) in 12-hour format.
- Buttons:** Cancel, Create

Figure 26 Synchronize snapshot schedule to remote system

There are some limitations regarding the **Restore** operation with snapshots depending on the replication type:

- **File Synchronous Replication:** In Dell EMC Unity OE versions prior to the 5.0 release you cannot restore a snapshot while a file synchronous replication session is in place. In order to restore a snapshot, you must first delete the replication session, perform the restore, and then re-configure the replication session. This requires a full sync after the replication session is re-established. In OE version 5.0 and later deleting the replication session is no longer required. The file synchronous replication session only needs to be paused prior to restoring of a snapshot. Once the restore is complete, you can resume the replication session.
- **Block Synchronous Replication:** You must first pause the block synchronous replication session prior to restoring to a snapshot. Once the restore is complete, you can resume the replication session.
- **File and Block Asynchronous Replication:** You can restore a snapshot while a replication session is in place and pausing the session is not required.

In Dell EMC Unity OE version 5.1 and later, replicated snapshots can be used in certain situations to establish a common base image between remote resources to avoid a full synchronization of data. As an example, a full synchronization of data is required when recreating a replication session on a resource. If the exact same snapshot exists on both the source and destination resources, they can be leveraged to establish a common base and only incremental updates are required for synchronization. Only snapshots that have been created

on a system running OE 5.1 or later and replicated to the destination running the same or later code can be used for full copy avoidance. To learn more about the resources and replication configurations that support full copy avoidance, review the *Dell EMC Unity: Replication Technologies* white paper on Dell EMC Online Support.

### 1.7.6 Host I/O Limits

Host I/O Limits is a Dell EMC Unity quality of service feature that allows administrators to specify I/O and bandwidth maximums for block resources or groups of block resources. Administrator can set Host I/O limits on all writable block resources, including attached snapshots. There is no special consideration when enabling host I/O limits on attached snapshots, other than snapshots must be attached prior to becoming available for setting host I/O limits. When users detach an attached snapshot with a currently applied host I/O limit, the snapshot is disassociated with the host I/O limit and needs to be re-associated with the host I/O limit if the snapshot is later reattached. Figure 27 shows the Host I/O Limit Properties page and the Attached Snapshots.

500IOPsLimit Properties					
General		Storage Resources		Attached Snapshots	Performance
+		🗑️		↻	1 item
<input type="checkbox"/>	LUN Name	Snapshot Name	↑	Consistency Group Name	SP Owner
<input type="checkbox"/>	LUN 1	ForAutomationTesting			SPB

Figure 27 Host I/O Limit on an attached snapshot

### 1.7.7 Cloud Tiering Appliance (CTA)

Beginning with Dell EMC Unity OE version 4.2 and Cloud Tiering Appliance (CTA) version 12, block snapshots can be archived to and restored from the cloud. For more information about Cloud Tiering Appliance (CTA) and how it works with Dell EMC Unity, review the white paper titled *Dell EMC Unity: Cloud Tiering Appliance (CTA)* on Dell EMC Online Support.

## 2 Thin Clones

### 2.1 Overview

A thin clone is a Read/Write copy of a Thin Block level storage resource (LUN, LUNs within consistency group, or VMware VMFS Datastores). A thin clone shares the underlying data blocks with the parent storage resource. When creating a thin clone, no data needs to be copied from the source. Upon creation, the data is immediately available on the thin clone. Any changes to the data on the thin clone will not affect the Base LUN and vice versa.

There are many operations available for thin clones including the ability to create, view, modify, refresh and delete thin clones. In addition, the user can configure a number of data services for thin clones using Unisphere, Unisphere CLI and REST API. For example, the administrator can provide host access, configure a Host I/O limit, replicate snapshots, and set up a snapshot schedule. Thin clones have the same look and feel as their source LUN, LUN within consistency group, or VMware VMFS Datastore.

Thin clones use pointer-based technology, which means a thin clone does not consume much space from the storage pool, however, additional space is consumed only when new data is written to the base LUN or the thin clone. On the contrary, thin clones share the space with the base resource, rather than allocate a copy of the source data for itself, which gives a lot of benefits to the user.

There are several use cases for thin clones:

- **Application Testing:** The user can test applications on thin clones before putting them in production. This allows development and test personnel to work with real workloads and use all data services associated with production storage resources without interfering with production.
- **Parallel processing:** Parallel processing applications that span multiple servers can use multiple thin clones of a single production dataset to achieve results more quickly.
- **Online backup:** The user can create thin clones to maintain hot backup copies of production systems. If there is corruption in the production dataset, the user can immediately resume the Read/Write workload by using the thin clones.
- **System deployment:** The user can use thin clones to build and deploy templates for identical or near-identical environments. For example, the user can create a test template that is cloned as needed for predictable testing.

### 2.2 Hierarchy

In order to create a thin clone, users need to take a snapshot of a Block storage resource. After the snapshot is taken, it can be used to create a thin clone. A single LUN, LUNs within a consistency group, and VMFS Datastores can have up to 16 thin clones each. Note, when creating a thin clone of a consistency group, it creates a thin clone of all LUNs that are associated within the consistency group at same time. The total maximum number of thin clones plus snapshots per LUN, consistency group, or VMFS Datastore is equal to 256. For example, if a user creates 250 snapshots, the user can only create 6 thin clones from this LUN. Also, a thin clone limit is associated with the LUN count per system and a snapshots limit, which is different from one model to another. For more information about the system limits, please reference the *Dell EMC Unity Simple Support Matrix*.

Additionally, the user can create a thin clone from another thin clone's snapshot. For example, if the Base LUN has 10 thin clones associated with it, a user can create a thin clone from another thin clone's snapshot,

up to 6 additional thin clones. In this case, to create more thin clones for that LUN, the user can delete a thin clone since the 16 thin clone limit had been reached for the particular resource. Figure 28 shows an example hierarchy of thin clones.

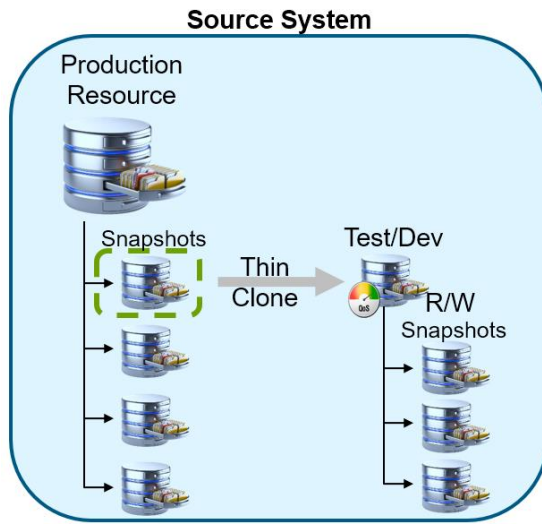


Figure 28 Thin clone hierarchy

## 2.3 Operations

### 2.3.1 Create

To create a thin clone of a Block resource, select a LUN, consistency group, or VMFS Datastore, and then click **More Actions > Clone**. Figure 29 illustrated how to create thin clone.

LUNs		Consistency Groups		iSCSI Interfaces					
				Source	Destination	All	23 items	1 selected	
	!	Name		Size (GB)	Allocated (%)	Pool	Type	Thin Clone Base	
<input checked="" type="checkbox"/>	<span style="color: green;">✔</span>	LUN 1		50.0	<div style="width: 20%;"></div>	Pool 1	LUN	--	
<input type="checkbox"/>	<span style="color: green;">✔</span>	LUN 1 - Dest		50.0	<div style="width: 10%;"></div>	Pool 3	LUN	--	
<input type="checkbox"/>	<span style="color: green;">✔</span>	LUN 2		50.0	<div style="width: 10%;"></div>	Pool 1	LUN	--	
<input type="checkbox"/>	<span style="color: green;">✔</span>	LUN 3		75.0	<div style="width: 20%;"></div>	Pool 1	LUN	--	
<input type="checkbox"/>	<span style="color: green;">✔</span>	LUN 3 - Dest - 0229		75.0	<div style="width: 20%;"></div>	Pool 3	LUN	--	

Figure 29 Create thin clone

Prior to creating a thin clone, users should create a snapshot of the resources. The snapshot can have Read-Only host access or be an unattached snapshot. To create a thin clone from a snapshot that is providing Read/Write access to a host, users need to create a copy of the unattached snapshot, and then create a thin clone. User can then give a Read/Write access to that snapshot. The Create Thin Clone wizard is simple to use, like any other wizard in Unisphere. There are two options in the first step of creating a thin clone: 1) Clone using an existing snapshot, which is usually chosen when the snapshot was created ahead of time. 2) Clone using the latest version of snapshot, which can be selected when no snapshot exists. In the thin clone



wizard, users can give access to the thin clone, set up a specific Snapshot Schedule, and start a replication session. Figure 30 shows the thin clone wizard.

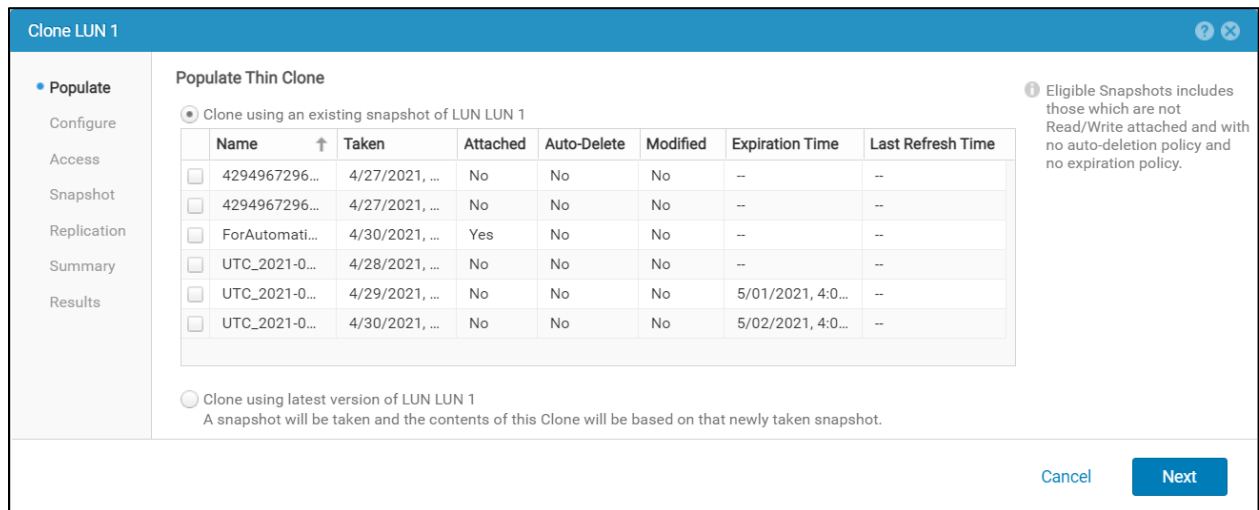


Figure 30 Thin clone wizard

### 2.3.2 Refresh

Refresh functionality is part of the thin clone feature. A refresh process can make a thin clone return to a previous image or to the original snapshot image. Users can specify which snapshot they want to refresh to. In order to refresh, a snapshot cannot have either automatic snapshot deletion or a snapshot expiration policy enabled or be providing Read/Write access to a host. When the user attempts to select a snapshot that has a Read/Write access or configured a deletion policy, the GUI will prevent the user from continuing to the next step. Figure 31 shows the **Refresh** thin clone wizard.

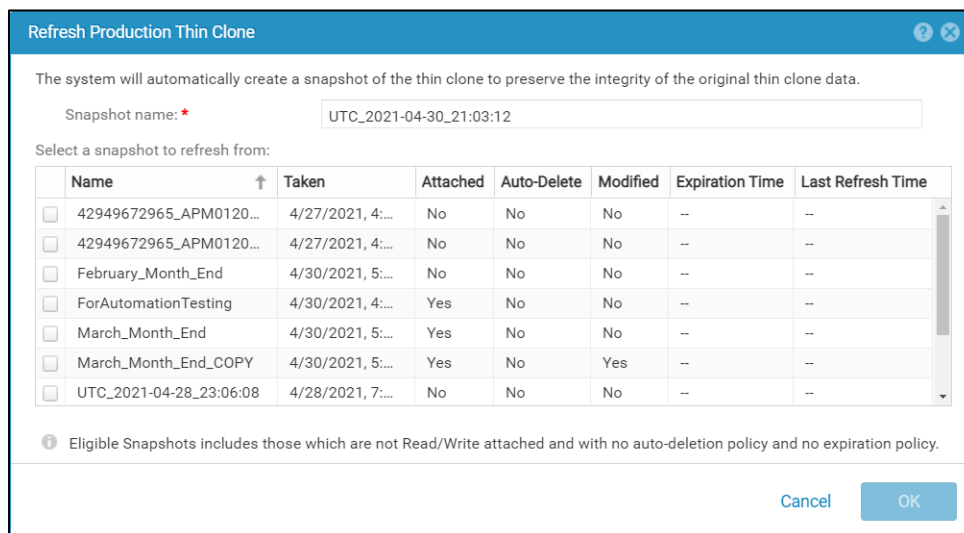


Figure 31 Refresh thin clone

In Dell EMC Unity OE version 4.2.1 and later, a LUN can be refreshed by any snapshot created under the Base LUN. This includes snapshots of a thin clone taken of the Base LUN. Being able to refresh the Base LUN from any snapshot of a thin clone, allows the LUN to go to any image the user wants. One use case is allowing the user to push any changes that have been made in a test environment into production. When refreshing a thin clone, the snapshot selected cannot have an auto-delete policy set or Read/Write access.

These rules also apply when refreshing a Base LUN. Figure 32 shows the **Refresh** operation for the Base LUN.

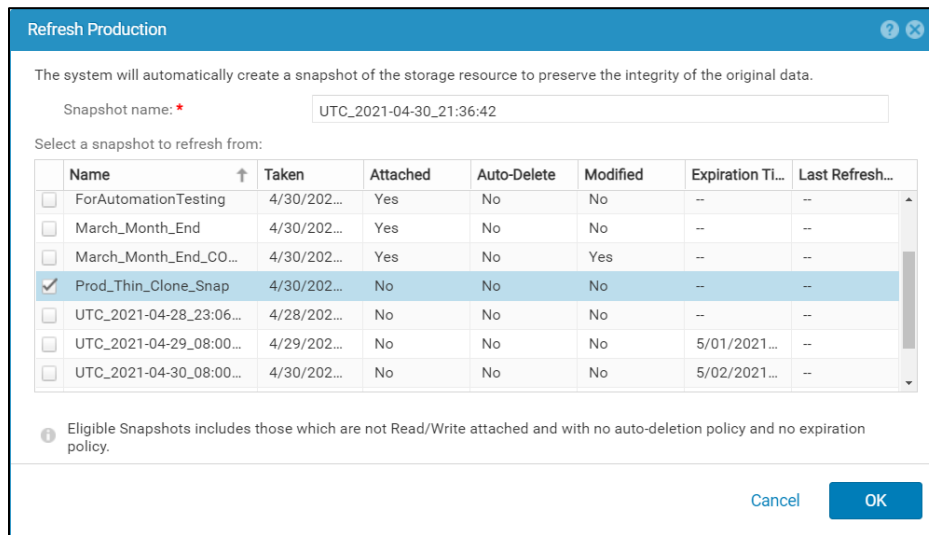


Figure 32 Refresh a LUN

Table 3 shows different scenarios that accompany the Refresh operation:

Table 3 Refresh Rules Operation

Object to Refresh	Refresh from	Is allowed?
Base LUN	Thin Clone	No
Base LUN	Snapshot (Any snapshot of a Base LUN or Thin Clone snapshot)	Yes
Base LUN Snapshot	Thin Clone	No
Base LUN Snapshot	Base LUN	Yes
Thin Clone X	Thin Clone Y	No
Thin Clone	Base LUN	No
Thin Clone	Snapshot (Any snapshot of a Base LUN or Thin Clone snapshot)	Yes
Thin Clone Snapshot	Base LUN	No
Thin Clone Snapshot	Thin Clone	Yes

### 2.3.3 Edit properties

Certain settings of a thin clone cannot be changed directly. Changing the FAST VP policy, enabling or disabling Data Reduction, and changing the SP ownership need to be done through the Base LUN. After a change is made, it will be reflected on all thin clones that are derived from that specific Base LUN.

Other settings of a thin clone can be changed directly. For instance, the user can change the size of the thin clone so it is larger than the original Base LUN. This change will not affect the Base LUN. Note that the thin clone's size cannot be decreased to be less than the Base LUN.

The thin clone's **Properties** page is similar to the LUN, consistency group, and VMFS Datastore's page. However, the thin clone's Allocated space will not be displayed. Users can check the allocated space from the Base LUN. Figure 33 shows an example of the thin clone's properties page. A **Host I/O Limit** applies to thin clones just as with snapshots.

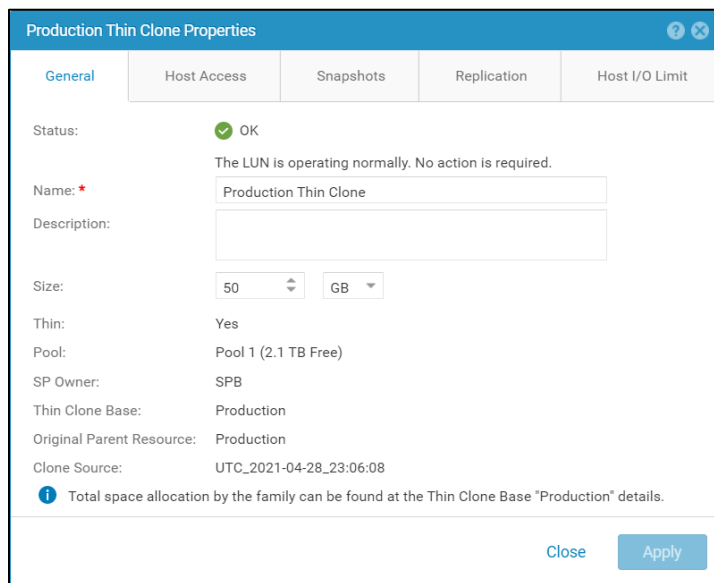


Figure 33 Thin clone properties

### 2.3.4 Delete

Deleting a thin clone is like deleting a LUN or other storage resource. To delete a thin clone, the user needs to ensure that it is not associated with an attached snapshot or other thin clones. The source snapshots can be deleted. However, the Base LUN, consistency group, and VMFS Datastore cannot be deleted if it is associated with other thin clones because this base is the parent of other thin clones.

## 2.4 Interoperability

### 2.4.1 Consistency groups

When creating a thin clone from a consistency group, all the LUNs within the consistency group are cloned. Similar to creating a thin clone from a stand-alone LUN, users can configure a snapshot schedule, replication session, and provide host access to a consistency group. LUNs within the consistency group cannot be removed, added, or deleted. Figure 34 shows the consistency group **Clone** wizard.

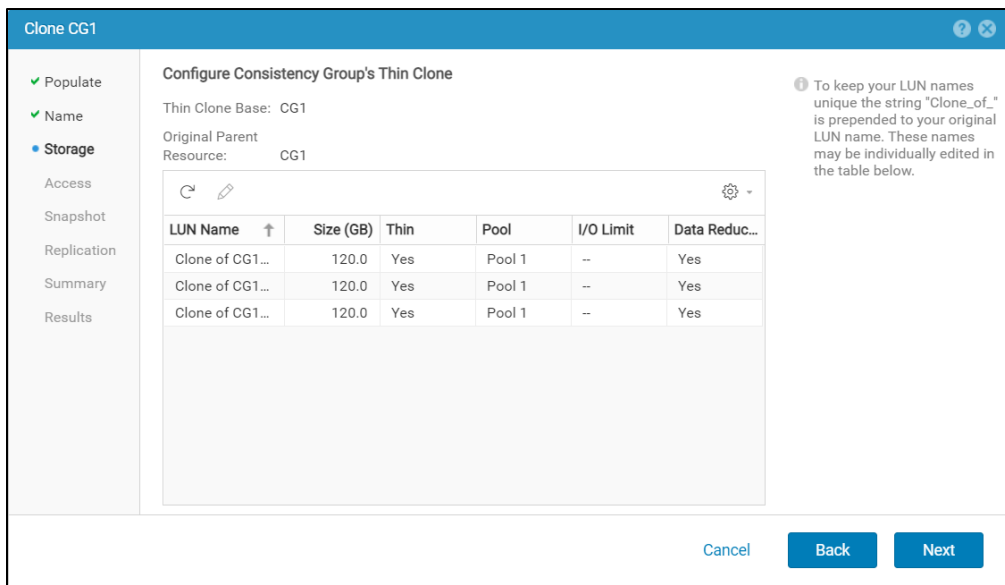


Figure 34 Create thin clone of consistency group

## 2.4.2 Replication

Thin clones support synchronous and asynchronous replication. When a thin clone is replicated to the destination system, the thin clone becomes a full copy of the source LUN, consistency group, or VMFS Datastore. After being replicated, the thin clone is a full independent copy, and it can have its own independent settings. Figure 35 shows an example of a replicated production resource and a thin clone.

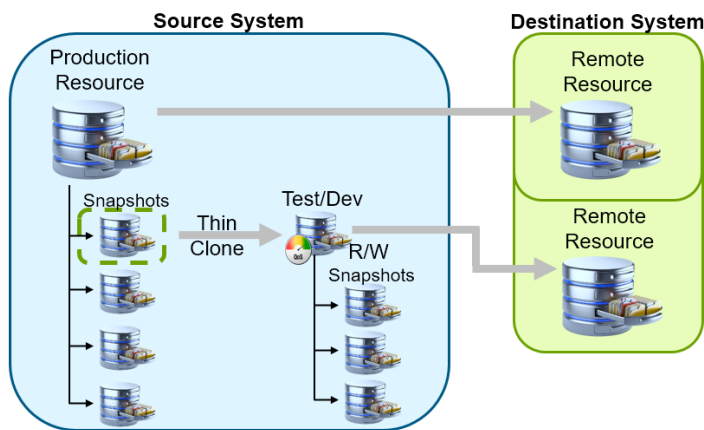


Figure 35 Thin clone replication

## 3 Conclusion

Dell EMC Unity introduces unified snapshots, a fully unified method that protects all types of storage resources locally, whether they are block, file, or vVol storage. This method allows administrators to protect data by taking point-in-time copies that can be restored at a later time when necessary. Using redirect-on-write technology, new writes to a snapped storage resource are written to a new location in the same pool and pointers are updated, which removes the need to copy data to a predefined save volume before overwriting. These snapshots can be created manually or through user-defined schedules which can differ in terms of frequency and retention (if supported), allowing for custom schedules to meet any service level. Because snapshots are compatible with other Dell EMC Unity features and data services, they can be used to easily protect users' data in a wide array of environments and use cases.

Thin clones in Dell EMC Unity allow testing and development environments to be easily deployed. Thin clones create a Read/Write copy of the block resource, which acts as an individual resource after it is created. Any changes to the Base LUN do not affect the thin clone and vice versa. To create a thin clone of a resource, the user needs to create a Read-Only snapshot or utilize an unattached. To roll back to previous copies, thin clones support Refresh functionality.

## A Technical support and resources

[Dell.com/support](https://www.dell.com/support) is focused on meeting customer needs with proven services and support.

[Storage technical documents and videos](#) provide expertise that helps to ensure customer success on Dell EMC storage platforms.

### A.1 Related resources

The following documents can be found on Dell EMC Online Support:

- Dell EMC Unity: Introduction to the Platform
- Dell EMC Unity XT: Introduction to the Platform
- Dell EMC Unity: Best Practices Guide
- Dell EMC Unity: Cloud Tiering Appliance (CTA)
- Dell EMC Unity: Compression
- Dell EMC Unity: Compression for File
- Dell EMC Unity: Data at Rest Encryption
- Dell EMC Unity: Data Integrity
- Dell EMC Unity: Data Reduction
- Dell EMC Unity: DR Access and Testing
- Dell EMC Unity: Dynamic Pools
- Dell EMC Unity: File-Level Retention (FLR)
- Dell EMC Unity: High Availability
- Dell EMC Unity: Introduction to the Platform
- Dell EMC Unity: NAS Capabilities
- Dell EMC Unity: MetroSync
- Dell EMC Unity: MetroSync and Home Directories
- Dell EMC Unity: MetroSync and VMware vSphere NFS Datastores
- Dell EMC Unity: Migration Technologies
- Dell EMC Unity: OpenStack Best Practices for Ocata Release
- Dell EMC Unity: Performance Metrics
- Dell EMC Unity: Replication Technologies
- Dell EMC Unity: Snapshots and Thin Clones
- Dell EMC Unity: Operating Environment (OE) Overview
- Dell EMC Unity: Unisphere Overview
- Dell EMC Unity: Virtualization Integration
- Dell EMC UnityVSA
- Dell EMC Unity Cloud Edition with VMware Cloud on AWS
- Dell EMC Unity Data Reduction Analysis
- Dell EMC Unity: Migrating to Dell EMC Unity with SAN Copy
- Dell EMC Unity Storage with Microsoft Hyper-V
- Dell EMC Unity Storage with Microsoft SQL Server
- Dell EMC Unity Storage with Microsoft Exchange Server
- Dell EMC Unity Storage with VMware vSphere
- Dell EMC Unity Storage with Oracle Databases
- Dell EMC Unity 350F Storage with VMware Horizon View VDI